

Appendix IV – Deliverables Tables-CalHEERS Project

ID	Key	Deliverable/Milestone Description	Work Plan Reference	Total Price	Holdback %	Holdback Amount
Baseline Systems and Services (Alternative Case Data Management Approach) Deliverables and Milestones						
Initiation, Concept, and Planning						
Project Baseline Review (PBR)						
1	Yes	Project Management Plan and Initial Work Plan	2.1.6.2.2.5	\$834,549	15%	\$125,182
2	No	Project Process Agreement	2.4.4.4.5	\$556,366	15%	\$83,455
3	No	Release Plan	2.4.4.2.5	\$556,366	15%	\$83,455
4	No	Privacy Impact Assessment	2.3.10.2.5	\$556,366	15%	\$83,455
5a	No	Monthly Work Plan Update #1	2.1.6.3.1.2	\$278,183	15%	\$41,727
6	No	Master Facility Acquisition and Installation Plan	2.2.6.2.5	\$556,366	15%	\$83,455
7	No	Equipment and Software Acquisition Plan	2.2.6.4.5	\$556,366	15%	\$83,455
		Total Project Baseline Review Deliverables		\$3,894,564		\$584,185
Requirements, Analysis, and Design						
Preliminary Design Review (PDR)						
8	Yes	Requirements Document/Requirements Traceability Matrix	2.4.4.6.5	\$1,887,671	15%	\$283,151
9	No	Preliminary Information Security Risk Assessment	2.3.10.4.5	\$1,112,732	15%	\$166,910
10	No	Preliminary System Security Plan	2.3.10.6.5	\$556,366	15%	\$83,455
11	No	Preliminary Test Plan	2.4.4.8.6	\$755,068	15%	\$113,260

ID	Key	Deliverable/Milestone Description	Work Plan Reference	Total Price	Holdback %	Holdback Amount
12	No	Technical Architecture Diagrams	2.3.10.8.5	\$1,510,137	15%	\$226,521
13	No	Logical Data Model	2.3.10.10.5	\$1,132,603	15%	\$169,890
5b	No	Monthly Work Plan Update #2	2.1.6.3.2.2	\$208,637	15%	\$31,296
5c	No	Monthly Work Plan Update #3	2.1.6.3.3.2	\$208,637	15%	\$31,296
5d	No	Monthly Work Plan Update #4	2.1.6.3.4.2	\$208,637	15%	\$31,296
5e	No	Monthly Work Plan Update #5	2.1.6.3.5.2	\$208,637	15%	\$31,296
Total Preliminary Design Review Deliverables				\$7,789,127		\$1,168,369
Detailed Design Review (DDR)						
14	No	Requirements Document/RTM Update #1	2.4.4.9.5	\$713,120	15%	\$106,968
15a	No	Preliminary System Design Document (Release 1)	2.4.4.11.5	\$2,454,635	15%	\$368,195
15b	No	Preliminary System Design Document (Release 2)	2.4.4.12.5	\$2,045,529	15%	\$306,829
15c	No	Preliminary System Design Document (Release 3)	2.4.4.13.5	\$1,636,423	15%	\$245,463
16	No	Preliminary Interface Control Document	2.3.10.12.5	\$1,227,317	15%	\$184,098
17	No	Preliminary Database Design Document	2.3.10.14.5	\$1,227,317	15%	\$184,098
18	No	Preliminary Data Management Plan	2.3.10.16.5	\$630,548	15%	\$94,582
19	No	Preliminary Physical Data Model	2.3.10.18.5	\$2,045,529	15%	\$306,829
20	No	Preliminary Data Conversion Plan	2.5.5.2.5	\$630,548	15%	\$94,582
5f	No	Monthly Work Plan Update #6	2.1.6.3.6.2	\$315,274	15%	\$47,291

ID	Key	Deliverable/Milestone Description	Work Plan Reference	Total Price	Holdback %	Holdback Amount
5g	No	Monthly Work Plan Update #7	2.1.6.3.7.2	\$315,274	15%	\$47,291
		Total Preliminary Design Review Deliverables		\$13,241,516		\$1,986,227
Final Detailed Design Review (FDDR)						
21	No	Requirements Document/RTM Update #2	2.4.4.20.5	\$545,327	15%	\$81,799
22a	Yes	Final System Design Document (Release 1)	2.4.4.21.5	\$1,674,556	15%	\$251,183
22b	Yes	Final System Design Document (Release 12)	2.4.4.22.5	\$1,564,228	15%	\$234,634
22c	Yes	Final System Design Document (Release 3)	2.4.4.23.5	\$1,251,382	15%	\$187,707
23	Yes	Final Interface Control Document	2.3.10.19.5	\$938,537	15%	\$140,781
24	Yes	Final Database Design Document	2.3.10.20.5	\$938,537	15%	\$140,781
25	No	Final Data Management Plan	2.3.10.21.5	\$482,184	15%	\$72,328
26	Yes	Final Physical Data Model	2.3.10.22.5	\$1,564,228	15%	\$234,634
27	No	Final Data Conversion Plan	2.5.5.3.5	\$482,184	15%	\$72,328
28	Yes	Infrastructure Design	2.3.10.24.5	\$101,259	15%	\$15,189
29	No	IVR Plan	2.3.10.26.5	\$101,259	15%	\$15,189
5h	No	Monthly Work Plan Update #8	2.1.6.3.8.2	\$120,546	15%	\$18,082
5i	No	Monthly Work Plan Update #9	2.1.6.3.9.2	\$120,546	15%	\$18,082
1a	No	Project Management Plan Update #1	2.1.6.2.3.5	\$241,092	15%	\$36,164
		Total Preliminary Design Review Deliverables		\$10,125,865		\$1,518,880

ID	Key	Deliverable/Milestone Description	Work Plan Reference	Total Price	Holdback %	Holdback Amount
Pre-Operational Readiness Review (PORR)						
30	Yes	Final Test Plan	2.4.4.24.5	\$755,068	15%	\$113,260
31	Yes	Preliminary Implementation Plan	2.7.6.2.5	\$826,601	15%	\$123,990
32	No	Preliminary User Manuals	2.7.6.6.5	\$826,601	15%	\$123,990
33a	Yes	Preliminary O&M Manual (Release 1)	2.3.10.28.5	\$826,601	15%	\$123,990
33b	Yes	Preliminary O&M Manual (Release 2)	2.3.10.29.5	\$826,601	15%	\$123,990
33c	Yes	Preliminary O&M Manual (Release 3)	2.3.10.30.5	\$826,601	15%	\$0
34	No	Initial IVR O&M Plan	2.3.10.32.5	\$278,183	15%	\$41,727
35	No	Preliminary Training Plan	2.7.6.4.5	\$413,301	15%	\$61,995
36a	No	Test Reports (Release 1)	2.4.4.26.5	\$826,601	15%	\$123,990
36b	No	Test Reports (Release 2)	2.4.4.27.5	\$826,601	15%	\$0
36c	No	Test Reports (Release 3)	2.4.4.28.5	\$826,601	15%	\$0
37	No	Preliminary Data Use/ Data Exchange/ Interconnection Security Agreement	2.3.10.34.5	\$413,301	15%	\$61,995
38	No	Final Information Security Risk Assessment	2.3.10.35.5	\$826,601	15%	\$123,990
39	Yes	Final System Security Plan	2.3.10.36.5	\$1,239,902	15%	\$185,985
40	No	Automated Code Review Results	2.4.4.30.5	\$413,301	15%	\$61,995
41a	No	Preliminary Business Product (Release 1)	2.4.4.32.5	\$2,026,503	15%	\$303,975
41b	No	Preliminary Business Product (Release 2)	2.4.4.33.5	\$2,026,503	15%	\$303,975

ID	Key	Deliverable/Milestone Description	Work Plan Reference	Total Price	Holdback %	Holdback Amount
41c	No	Preliminary Business Product (Release 3)	2.4.4.34.5	\$2,026,503	15%	\$303,975
42a	No	Preliminary Training Materials (Release 1)	2.7.6.8.5	\$1,653,202	15%	\$247,980
42b	No	Preliminary Training Materials (Release 2)	2.7.6.9.5	\$1,653,202	15%	\$247,980
42c	No	Preliminary Training Materials (Release 3)	2.7.6.10.5	\$826,601	15%	\$0
43	Yes	Infrastructure Readiness Complete	2.3.10.38.5	\$135,118	15%	\$0
5j	No	Monthly Work Plan Update #10	2.1.6.3.10.2	\$278,183	15%	\$41,727
5k	No	Monthly Work Plan Update #11	2.1.6.3.11.2	\$278,183	15%	\$41,727
5l	No	Monthly Work Plan Update #12	2.1.6.3.12.2	\$278,183	15%	\$41,727
5m	No	Monthly Work Plan Update #13	2.1.6.3.13.2	\$278,183	15%	\$41,727
5n	No	Monthly Work Plan Update #14	2.1.6.3.14.2	\$278,183	15%	\$0
5o	No	Monthly Work Plan Update #15	2.1.6.3.15.2	\$278,183	15%	\$0
5p	No	Monthly Work Plan Update #16	2.1.6.3.16.2	\$278,183	15%	\$0
Total Preliminary Operational Readiness Deliverables				\$23,048,525		\$2,930,156
Implementation						
Operational Readiness Review (ORR)						
44	No	Final Implementation Plan	2.7.6.11.5	\$551,067	15%	\$82,660
45	No	Final User Manuals	2.7.6.15.5	\$551,067	15%	\$0
46a	Yes	Final O&M Manual (Release 1)	2.3.10.41.5	\$551,067	15%	\$82,660

ID	Key	Deliverable/Milestone Description	Work Plan Reference	Total Price	Holdback %	Holdback Amount
46b	Yes	Final O&M Manual (Release 2)	2.3.10.42.5	\$551,067	15%	\$0
46c	Yes	Final O&M Manual (Release 3)	2.3.10.43.5	\$551,067	15%	\$0
47	Yes	Final Training Plan	2.7.6.14.5	\$826,601	15%	\$123,990
48a	No	Test Reports (Release 1)	2.4.4.35.5	\$551,067	15%	\$82,660
48b	No	Test Reports (Release 2)	2.4.4.36.5	\$551,067	15%	\$0
48c	No	Test Reports (Release 3)	2.4.4.37.5	\$551,067	15%	\$0
49	No	Final Data Use/ Data Exchange/ Interconnection Security Agreement	2.3.10.44.5	\$275,534	15%	\$0
50a	Yes	Final Business Product (Release 1)	2.4.4.38.5	\$551,067	15%	\$82,660
50b	Yes	Final Business Product (Release 2)	2.4.4.39.5	\$826,601	15%	\$123,990
50c	Yes	Final Business Product (Release 3)	2.4.4.40.5	\$275,534	15%	\$41,330
51a	No	Training Materials (Release 1)	2.7.6.16.5	\$1,377,669	15%	\$0
51b	No	Training Materials (Release 2)	2.7.6.17.5	\$1,377,669	15%	\$0
51c	No	Training Materials (Release 3)	2.7.6.18.5	\$826,601	15%	\$0
52a	Yes	Implementation Complete Report (Release 1)	2.7.6.13.5	\$209,686	15%	\$0
52b	Yes	Implementation Complete Report (Release 2)	2.7.6.13.5	\$104,843	15%	\$0
52c	Yes	Implementation Complete Report (Release 3)	2.7.6.13.5	\$104,843	15%	\$0
53	No	Project Completion Report	2.1.6.5.2.5	\$287,677	15%	\$0
54	Yes	RTM Update #3	2.4.4.41.5	\$629,224	15%	\$0

ID	Key	Deliverable/Milestone Description	Work Plan Reference	Total Price	Holdback %	Holdback Amount
55	No	Privacy Impact Assessment	2.3.10.45.5	\$1,258,116	15%	\$188,717
56	No	Plan Of Action and Milestones	2.3.10.47.5	\$1,258,116	15%	\$151,039
57	No	Certification Plan	2.1.6.4.2.5	\$556,366	15%	\$0
58	No	Certification Checklist	2.1.6.4.4.5	\$838,744	15%	\$0
59	No	Certification Readiness Complete	2.1.6.4.6.5	\$419,372	15%	\$0
1b	No	Project Management Plan Update #2	2.1.6.2.4.5	\$278,183	15%	\$0
5q	No	Monthly Work Plan Update #17	2.1.6.3.17.2	\$834,549	15%	\$0
5r	No	Monthly Work Plan Update #18	2.1.6.3.18.2	\$278,183	15%	\$0
5s	No	Monthly Work Plan Update #19	2.1.6.3.19.2	\$278,183	15%	\$0
5t	No	Monthly Work Plan Update #20	2.1.6.3.20.2	\$278,183	15%	\$0
60	No	Technology Refresh Assessment and Plan	2.3.10.49.5	\$556,366	15%	\$0
61	No	Release Management Plan	2.3.10.40.5	\$556,366	15%	\$0
Total Operational Readiness Deliverables				\$19,472,818		\$959,708
Total Baseline Deliverables Price				\$77,572,415		\$9,147,525

ID	Key	Deliverable/Milestone Description	Work Plan Reference	Total Price	Holdback %	Holdback Amount
Options Executed - Deliverables and Milestones						
Organizational Change Management						
OD1	No	Preliminary Organizational Change Management Plan	2.6.5.2.5	\$3,242,622	15%	\$324,262
OD2	Yes	Final Organizational Change Management Plan	2.6.5.3.5	\$1,621,311	15%	\$162,131
OM1		Organization Change Management Milestone Complete	2.6.6	\$1,621,311	0%	\$0
Total OCM Deliverables				\$6,485,245		\$486,393
Assister Management Deliverables/Milestones						
OD4	No	Assister Management Plan	2.4.4.17.5	\$258,665	15%	\$38,800
OM3		Assister Management Complete Milestone	2.4.4.17.7	\$110,856	0%	\$0
Total Assister Management Deliverables/Milestones				\$369,521		\$38,800
SHOP Deliverables/Milestones						
OD5	No	SHOP Management Plan	2.4.4.19.5	\$584,753	15%	\$87,713
OM4		SHOP Complete Milestone	2.4.4.19.7	\$250,608	0%	\$0
Total SHOP Deliverables/Milestones				\$835,361		\$87,713
Centralized Provider Directory Database Deliverables/Milestones						
OD3		Centralized Provider Directory Database Plan	3.7.6.2.5	\$0	15%	\$0
OM2		Centralized Provider Directory Database Complete Milestone	3.7.6.2.5	\$0	0%	\$0

ID	Key	Deliverable/Milestone Description	Work Plan Reference	Total Price	Holdback %	Holdback Amount
		Total Centralized Provider Directory Database Deliverables/Milestones		\$0		\$0
		Total Options Executed - Deliverables Price		\$7,690,127		\$612,906

ID	Key	Deliverable/Milestone Description	Work Plan Reference	Total Price	Holdback %	Holdback Amount
Options that May be Executed as Part of Baseline System (Alternative) - Deliverables and Milestones						
Individual Premium Aggregation Deliverables/Milestones						
OD3		Individual Premium Aggregation Plan	2.4.4.15.5	\$538,882	15%	\$80,832
OM2		Individual Premium Aggregation Complete Milestone	2.4.4.15.7	\$230,950	0%	\$0
		Total Individual Premium Aggregation Deliverables/Milestones		\$769,832		\$80,832
Service Center Hardware, Software, and Facilities						
SCD1		Service Center Build and Implementation Plan	2.2.6.6.5	\$947,874	10%	\$94,787
SCD2		Initial Service Center Management Plan	2.2.6.8.5	\$947,874	10%	\$94,787
SCD3		Final Service Center Management Plan	2.2.6.9.5	\$947,874	10%	\$94,787
SCM1		Service Center Implementation Readiness Milestone	2.3.8.2.3	\$947,874	0%	\$94,787
SCM2		Service Center Implementation Complete Milestone	2.3.8.2.4	\$947,874	0%	\$94,787
		Total Service Center Deliverables/Milestones		\$4,739,368		\$473,937

ID	Key	Deliverable/Milestone Description	Work Plan Reference	Total Price	Holdback %	Holdback Amount
		Total Options that May be Executed with Baseline (Alt) - Deliverables Price		\$5,509,200		\$554,769

ID	Key	Deliverable/Milestone Description	Work Plan Reference	Total Price	Holdback %	Holdback Amount
Options that May be Executed as Part of Expanded System (Alternative) - Deliverables and Milestones						
Other Health Services Programs						
OD7		Other Health Services Plan	3.6.6.2.5	\$469,178	10%	\$46,918
OM6		Other Health Services Complete Milestone	3.6.5.2	\$469,178	0%	\$46,918
		Total Other Health Services Deliverables/Milestones		\$938,355		\$93,836
Other Non-Health Social Services Programs						
OD8		Other Non Health Services Plan	3.5.6.2.5	\$640,694	10%	\$64,069
OM7		Other Health Services Complete Milestone	3.5.5.2	\$640,694	0%	\$0
		Total Other Non-Health Services Deliverables/Milestones		\$1,281,389		\$64,069
Integration of MEDS Functionality						
TBD		TBD	TBD	TBD	TBD	TBD
		Total Options that May be Executed with Expanded - Deliverables Price		\$2,219,744		\$157,905

ID	Key	Deliverable/Milestone Description	Work Plan Reference	Total Price	Holdback %	Holdback Amount
Transition of CalHEERS Options - Deliverables and Milestones						
Full Transition of CalHEERS						
TD1		Transition of CalHEERS Plan	2.9.3.4.3.5	\$30,000	0%	\$0
TD2		Transition of CalHEERS Complete Milestone	2.9.3.4.7	\$30,000	0%	\$0
Total Full Transition of CalHEERS - Deliverables/Milestones				\$60,000		\$0
Transition of CalHEERS Hosting						
TD1		Other Health Services Plan	2.9.3.4.5.5	\$30,000	0%	\$0
TD2		Other Health Services Complete Milestone	2.9.3.4.6	\$30,000	0%	\$0
Total Transition of CalHEERS Hosting - Deliverables/Milestones				\$60,000		\$0

ID	Key	Deliverable/Milestone Description	Total Price	Target Release for 90% Holdback	Target Release for 100% Holdback
Milestone/Event - Release Holdback					
Baseline System (Alternative Case Data Management Approach) Milestones - includes Individual Premium Aggregation					
M1		Federal Gate - PBR Review Milestone Complete	\$576,213	1/1/2015	TBD
M2		Federal Gate - Design Review Milestone Complete	\$2,408,525	1/1/2015	TBD
M3		Federal Gate - Operational Readiness Milestone Complete	\$2,303,368	1/1/2015	TBD

ID	Key	Deliverable/Milestone Description	Total Price	Target Release for 90% Holdback	Target Release for 100% Holdback
M4		Final Acceptance (one year after begin production)	\$4,711,893	1/1/2015	TBD
		Total Baseline System (Alt) Milestone/Event - Release Holdback	\$10,000,000		
Service Center Hardware, Software, and Facilities					
M1		Federal Gate - PBR Review Milestone Complete	\$0	1/1/2015	TBD
M2		Federal Gate - Design Review Milestone Complete	\$0	1/1/2015	TBD
M3		Federal Gate - Operational Readiness Milestone Complete	\$236,968	1/1/2015	TBD
M4		Final Acceptance (one year after begin production)	\$236,968	1/1/2015	TBD
		Total Service Center Milestone/Event - Release Holdback	\$473,937		
Expanded System - includes Other Health and Non-Health Services Programs					
M1		Federal Gate - PBR Review Milestone Complete	\$0	N/A	6/24/2013
M2		Federal Gate - Design Review Milestone Complete	\$0	N/A	3/25/2014
M3		Federal Gate - Operational Readiness Milestone Complete	\$55,494	N/A	8/6/2015
M4		Final Acceptance (one year after begin production)	\$55,494	N/A	1/20/2017
		Total Expanded System Milestone/Event - Release Holdback	\$110,987		